

SOS-Water deliverable report

[D7.2, Data Management Plan]

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Executive summary

This report describes the initial Data Management Plan (DMP) of the SOS-Water project. The purpose of this DMP is to provide an overview of all datasets collected and generated by the project and to define the management strategy of these datasets during and after the end of the project with the purpose of making research data Findable, Accessible, Interoperable and Re-usable (FAIR). The SOS-Water DMP follows the structure of the Horizon Europe DMP template. It reflects the status of the data that is collected, processed or generated and following what methodology and standards, whether and how this data will be shared and/or made open, and how it will be curated and preserved. This initial version of the DMP defines the general strategy of data management in SOS-Water for handling data management-related issues on the administrative and technical levels. This includes topics like data and metadata collection, publication and deposition of open data, and the data repository infrastructure. This DMP is a living document to be updated as the project implementation progresses and when significant changes occur.



Project overview

SOS-Water is a Horizon Europe project, started in October 2022, which aims to define a safe operating space (SOS) for water resources, accounting concurrently for all relevant water dimensions across multiple sectors and spatial scales under the influence of socio-economic, policy, technological, and climatic changes. By advancing and linking water system models with models from sectors such as agriculture and energy, biodiversity, or sediment transport, SOS-Water will lay the foundations for a holistic assessment framework of water resources across spatial scales. The SOS-Water framework will enable the assessment of feedback loops and trade-offs between different dimensions of the water system and help address pressing global, regional, and local water challenges.

The project consortium comprises 11 partners and is led by the International Institute for Applied Systems Analysis (IIASA).

Description of deliverable

The Data Management Plan (DMP) of SOS-Water describes the management strategy of the data collected and generated during the project to make research data Findable, Accessible, Interoperable, and Re-usable (FAIR). This DMP is intended to be a living document that will outline how the SOS-Water research data will be handled during and after the project, and so it will be reviewed and updated regularly throughout the project duration.

1. Data Summary

Open science practices are central to the successful completion of SOS-Water. They will be embraced by the project coordinator and partners, who already follow open-science and data policies in all their research. All tools, models, data, and methods developed within SOS-Water will be implemented following open-science principles while complying with the obligations for data protection by the GDPR and any possible restrictions imposed by the data providers. As a truly interdisciplinary project, SOS-Water will highlight the importance of open source and open science in the context of water system modelling, as creating a water system SOS assessment framework requires models and approaches that are accessible, reproducible, and modifiable.

Throughout the four years of its duration, SOS-Water will generate data from a wide range of activities related to modelling efforts, collection of Earth Observation data, and stakeholders' engagement processes. In coherence with the objectives of the project and the Plan for Dissemination and Exploitation of the Results (Deliverable D6.1), SOS-Water results, data, models, tools, and publications will be disseminated so they can be scrutinized by other researchers, potential future partners, and the wider research and operational communities.

As the data identification and collection activities are still ongoing, this DMP version provides a preliminary overview of the data that will be needed and generated in SOS-Water. This includes the type and format, the purpose, the size, and finally the origin of the data. In addition, the potential of the data to be used outside of the project will be explained. In the following sections, we respond to the questions provided in the Horizon Europe DMP template.

Data re-used or generated and its purpose

SOS-Water will collect, process, store, use and generate a large amount of data related to water resources globally and in different case studies. These data will be used to: (i) improve the parametrization of SOS-Water models; (ii) add new functionalities to these models, for instance by increasing spatial and temporal resolutions or improving water use schemes; (iii) facilitate model integration; and (iv) inform SOS-Water indicators. To handle this data, the SOS-Water consortium provides the necessary expertise, facilities, and equipment to develop, process, and host existing and generated data.

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SOS-Water will collect and use: (i) existing and widely used available climatological, hydrological, socioeconomic, and biodiversity data; (ii) climate model output and forcing data; and (iii) water-relevant Earth Observation (EO) data. Most of these datasets have already been acquired from open-source databases and stored locally by several SOS-Water partners and will be catalogued and shared within the consortium in the web-based collaborative platform of the project on Microsoft SharePoint. It is important to mention that many of the SOS-Water models (e.g., PCR-GLOBWB, CWatM, CASCADE, ECHO, and Hydro-economic model of the Jucar water resources system) have developed their databases over many years, which will be enhanced within SOS-Water. The availability of state-of-theart EO datasets that can be potentially used for water resource monitoring in SOS-Water will be provided in Deliverable D3.1, *"Data inventory and EO data needs for water resources monitoring Improved EO application prototypes"* due by the end of March 2023. Additional case study-specific data will be collected from different local data sources to improve the model relevance at the local level. Table 1 provides some examples of datasets that will be used in SOS-Water.

Type of data	Examples of databases		
Hydrological data	- River discharge from the Global Runoff Discharge Centre		
	[http://grdc.bafg.de/]		
	- Evapotranspiration accessed with Earth Engine		
	[https://developers.google.com/earth-		
	engine/datasets/catalog/NASA_GLDAS_V021_NOAH_G025_T3H#bands]		
	- Hydrography90m - globally seamless, standardised hydrographic network,		
	with corresponding stream topographic and topological information		
	[https://hydrography.org/]		
	- Global gridded soil information [<u>https://soilgrids.org/</u>]		
	- Access to water-related spatial data for the different case studies: Danube		
	basin [<u>https://www.danubegis.org/</u>], Jucar basin [<u>http://saih.chj.es/</u>],		
	Mekong basin [<u>https://portal.mrcmekong.org/</u>], and Rhine		
	[https://www.govdata.de/]		
Biodiversity data	- Global Biodiversity Information Facility (GBIF) [https://www.gbif.org/]		
	- Data housed in fish collections in natural history museums, universities		
	and other institutions (Fishnet2 Data) [http://www.fishnet2.net/]		
Socio-economic data	- Population and GDP projection data from the SSP database		
	[https://tntcat.iiasa.ac.at/SspDb]		
	- Reservoir data from the Global Reservoir and Dam Database (GRanD)		
	[https://sedac.ciesin.columbia.edu/data/set/grand-v1-reservoirs-rev01]		
	and the georeferenced global dams and reservoirs dataset (GeoDAR)		
	[https://essd.copernicus.org/articles/14/1869/2022/]		
	- Spatially explicit global database on wastewater treatment plants		
	[https://www.hydrosheds.org/products/hydrowaste]		
Climate data	- Climate reanalysis data from ERA5, ERA5-Land, UERRA or CERRA		
	[https://cds.climate.copernicus.eu/].		
	- Climate data at high resolution for the earth's land surface areas (CHELSA)		
	[http://chelsa-climate.org/]		
	- Bias-corrected climate-input data provided within the Inter-Sectoral		
	Impact Model Intercomparison Project (ISIMIP), based on CMIP6 climate		
	model data [<u>https://www.isimip.org/protocol/3/</u>]		
	- Improved regional climate change projections from EURO-CORDEX		
	[https://www.euro-cordex.net/]		
Earth Observation	- Copernicus Global Land Service [https://land.copernicus.eu/]		
data	- Several climate variables from ESA CCI Climate Dashboard		
	[https://climate.esa.int/]		

Table 1. Input	data needed in	SOS-Water and	examples of	databases.

- ESA Land Cover Data
[http://maps.elie.ucl.ac.be/CCl/viewer/download.php]
- GRACE satellite products accessed with Earth Engine
[https://developers.google.com/earth-
engine/datasets/catalog/NASA GRACE MASS GRIDS LAND]
The Global Gravity-based Groundwater Product (G3P)
[https://www.g3p.eu/]

Types and formats of data generated or re-used

SOS-Water will generate a diverse range of output comprising both improved modelling tools and simulated model outputs related to water resources availability and use and water values needed to assess and estimate the range of identified water system indicators and meta-indicators.

SOS-Water will adhere to standard data formats used within the environmental research communities, including tabulated (CSV, Excel), raster (GRIB, NetCDF, GeoTIFF) and vector (Shapefiles, GeoJSON) data. To deliver and visualize results, SOS-Water will develop dedicated visualization tools to support the exploration of the SOS by combining existing multi-dimensional visualization techniques (Visual Data Mining, Visual Analytics) and narrative methods for collective sense-making to conceive, develop, and appraise alternative policies together with stakeholders and policymakers. Such tools will support the definition of storylines and pathways that consider climate and socio-economic conditions, specifying the ones that generate performance failures, thus supporting the translation of complex models into actionable plans. Deliverable D5.3, *"Safe Operating Space visualization tool,"* is expected to be available by the end of September 2025.

Size of the data generated or re-used

The data size that will be generated or re-used will depend, among others, on the data type, spatial resolution, and the number of scenarios and models to be run. The size could range from several MBs for socio-economic statistical data to multiple GBs for daily high-resolution EO products. One of the specific objectives of SOS-Water is to reduce the number of water indicators and introduce new meta-indicators that represent the different dimensions of the water system. Achieving that objective substantially reduces the associated data output and long-term storage requirements from a data management perspective, and a total data volume of less than 5TB is expected.

Data utility outside SOS-Water

Data generated in SOS-Water has a high potential to be used outside the project. Collated input data, model codes and simulation results produced in SOS-Water are expected to be useful for scientists working on other environmental-economic assessments (e.g., agriculture, energy, climate change, sustainable development). Synthesized outputs and visualizations will be useful for water resource managers, water users, policymakers, and the general public interested in the sustainable use of water resources.

2. Fair Data

2.1 Making data findable, including provisions for metadata

Will data be identified by a persistent identifier?

The data generated in SOS-Water is planned to be shared using institutional (e.g., <u>IIASA-PURE</u>, <u>EAWAG-DORA</u>, <u>UU-YODA</u>, <u>UPV-RiuNet</u>) and public (e.g., <u>PANGAEA</u>, <u>ZENODO</u>) repositories, which assign persistent identifiers. Model codes and input data of reasonable sizes will be available on <u>GitHub</u>, which identifies each update with a unique code.



Will rich metadata be provided to allow discovery? What metadata will be created? What disciplinary or general standards will be followed? In case metadata standards do not exist in your discipline, please outline what type of metadata will be created and how.

Rich metadata will be provided in SOS-Water, providing descriptive information about the shared data (e.g., origin, length, timestep, format, geo-reference, units, etc.). Readme files will be used in SOS-Water to provide such information. Moreover, standard data formats for climatic and hydrological data, such as the NetCDF CF (Climate and Forecast metadata conventions) compliant data standard, will be used in SOS-Water, which holds georeferenced maps through time, including data description and units.

Will search keywords be provided in the metadata to optimize the possibility for discovery and then potential re-use?

We will create search keywords for the metadata in the selected institutional and public repositories.

Will metadata be offered in such a way that it can be harvested and indexed?

Metadata provided through the selected institutional and public repositories can be harvested and indexed.

2.2 Making data accessible

Repository:

Will the data be deposited in a trusted repository?

Most models used within SOS-Water are open-source (e.g., CWatM, PCR-GLOBWB 2.0) or are accessible promptly upon request (e.g., Jucar River Basin model). Codes of open-source models are available via hosting platforms such as GitHub to ensure continuous development and version control. For example, the <u>Community Water Model (CWatM</u>) is fully open source, and its source code is freely available on GitHub. SOS-Water pursues to advance water use modelling by developing a new, dynamic water use scheme. The scheme's associated development, documentation, and publication will adhere to the open-source principles embraced by the project partners. The modelling tool and development process will be made available and provided via GitHub as a supplement to scientific publications detailing and validating the new scheme.

SOS-Water will produce a diverse range of model results and data to estimate the identified indicator sets and meta-indicators – both under current conditions and different future scenarios and management pathways. SOS-Water will obtain (high-resolution, gridded) maps of indicators and provide those under FAIR data sharing standards to maximize uptake by the wider scientific community and ensure its legacy beyond the project duration. The data will be shared using selected institutional and public data repositories while complying with the obligations for data protection by the GDPR and possible restrictions imposed by the data providers. The selected data repositories guarantee the long-term availability of its content through a commitment of the hosting partners and institutions. The data will be also made available as supplements to scientific publications that document and validate the respective output.

Have you explored appropriate arrangements with the identified repository where your data will be deposited?

Access to the identified institutional and public repositories is provided for free, and they have no size limits. The different partners have already been using them in previous projects.

Does the repository ensure that the data is assigned an identifier? Will the repository resolve the identifier to a digital object?

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The selected institutional and public repositories provide Digital Object Identifiers (DOI) to identify, share, publish, and cite the data.

<u>Data:</u>

Will all data be made openly available? If certain datasets cannot be shared (or need to be shared under restricted access conditions), explain why, clearly separating legal and contractual reasons from intentional restrictions.

Note that in multi-beneficiary projects it is also possible for specific beneficiaries to keep their data closed if opening their data goes against their legitimate interests or other constraints as per the Grant Agreement.

Open science practices are central to the successful completion of SOS-Water and will be embraced by the project partners, who already follow open-science and data policies in all their research. All tools, models, data, and methods developed within SOS-Water will be implemented following open-science principles.

Most models, tools, techniques, and algorithms used in SOS-Water (many of those developed by members of the consortium) are open-source (i.e., their source codes are freely available and can be openly accessed, used, shared, and modified). As a truly interdisciplinary project, SOS-Water will highlight the importance of open source and open science in the context of water system modelling, as the creation of a water system SOS assessment framework requires models and approaches that are accessible, reproducible, and modifiable. New water use schemes developed within SOS-Water, and other modelling advancements will therefore follow open-science principles, as the models will be made available to members of the scientific community and others. It is important to mention that data generated in SOS-Water will always be published at a high level of aggregation, ensuring data protection.

If an embargo is applied to give time to publish or seek protection of the intellectual property (e.g. patents), specify why and how long this will apply, bearing in mind that research data should be made available as soon as possible.

Data made available through SOS-Water will be validated before open access is provided to all users. We will also aim to share intermediate data if an embargo is applied.

Will the data be accessible through a free and standardized access protocol?

The data will be freely accessible through the selected institutional and public repositories.

If there are restrictions on use, how will access be provided to the data, both during and after the end of the project?

We do not foresee any restrictions on the use of the data.

How will the identity of the person accessing the data be ascertained?

Data shared through the selected institutional and public repositories are publicly accessible and licensed under the public domain. Therefore, no authorization is required to retrieve it. However, the protocols of these repositories allow for an authentication and authorization procedure, where necessary.

Is there a need for a data access committee (e.g., to evaluate/approve access requests to personal/sensitive data)?



At this stage, we do not foresee the need for a data access committee, as data will be shared while complying with the obligations for data protection by the GDPR and any possible restrictions imposed by the data providers.

<u>Metadata:</u>

Will metadata be made openly available and licenced under a public domain dedication CCO, as per the Grant Agreement? If not, please clarify why. Will metadata contain information to enable the user to access the data?

Metadata will be made openly available, and we do not foresee any issues with the data having a CCO agreement associated with it. For instance, Zenodo metadata is licensed under CCO. Moreover, we will ensure that metadata contains the required information to enable the user to access the data.

How long will the data remain available and findable? Will metadata be guaranteed to remain available after data is no longer available?

We expect the data to remain available and findable for the lifetime of the selected repositories. In the case of the data becoming outdated, which is possible with additional updates to the data/products, previous versions will be noted.

Will documentation or reference about any software be needed to access or read the data be included? Will it be possible to include the relevant software (e.g. in open source code)?

Documentation and references about software will be provided in case needed to access or read the data. Codes for processing the data and of open-source models used in SOS-Water will be made available via GitHub.

2.3 Making data interoperable

What data and metadata vocabularies, standards, formats or methodologies will you follow to make your data interoperable to allow data exchange and re-use within and across disciplines? Will you follow community-endorsed interoperability best practices? Which ones?

To ensure interoperability, SOS-Water will adhere to standard data formats used within the respective communities, that includes using tabulated (CSV, Excel), raster (GRIB, NetCDF, GeoTIFF) and vector (Shapefiles, GeoJSON) data. For example, climate and hydrological data will be provided using NetCDF, which consists of self-describing, machine-independent data formats that facilitate the exchange and reuse of scientific data. Many (open source) software applications do exist that are able to read and generate NetCDF datasets.

In order to deliver and visualize results, SOS-Water will develop dedicated visualization tools to support exploration of the SOS by combining existing multi-dimensional visualization techniques (Visual Data Mining, Visual Analytics) and narrative methods for collective sense-making to conceive, develop, and appraise alternative policies together with stakeholders and policymakers. Such tools will support the definition of storylines and pathways that take into consideration climate and socio-economic conditions, specifying the ones that generate performance failures, thus supporting the translation of complex models into actionable plans. Deliverable D5.3 *"Safe Operating Space visualization tool"* is expected to be available by end of September 2025.

In case it is unavoidable that you use uncommon or generate project specific ontologies or vocabularies, will you provide mappings to more commonly used ontologies? Will you openly publish the generated ontologies or vocabularies to allow reusing, refining or extending them?



Results obtained from SOS-Water models are expected to follow common vocabulary related to water resources management and planning and therefore we do not foresee the need for additional mappings.

Will your data include qualified references to other data (e.g. other data from your project, or datasets from previous research)?

SOS-Water will include qualified references to other data when needed.

2.4 Increase data re-use

How will you provide documentation needed to validate data analysis and facilitate data re-use (e.g. readme files with information on methodology, codebooks, data cleaning, analyses, variable definitions, units of measurement, etc.)?

The entire data management process will be documented and verified; data collection methods, data editing, software used, analysis, codes, variables, standards, etc., so that it is possible to understand and reproduce the research. Every published set of data and codes will contain a readme-file to ensure ease of access. These readme-files will contain a list of relevant variable names, units, an outline of the folder structure, and a description of how codes and data work together.

Will your data be made freely available in the public domain to permit the widest re-use possible? Will your data be licensed using standard reuse licenses, in line with the obligations set out in the Grant Agreement?

The data used and generated will be made freely and openly available through the selected institutional and public repositories, while complying with the obligations for data protection by the GDPR and any possible restrictions imposed by the data providers. We will license our data using the standard reuse licenses as set out in the Grant Agreement. In general, the open data and metadata will be made available for re-use under CC BY and CCO, respectively.

Will the data produced in the project be useable by third parties, in particular after the end of the project?

SOS-Water aims to guarantee open access as widely as possible during and after the project, while complying with the obligations for data protection by the GDPR and any possible restrictions imposed by the data providers.

Will the provenance of the data be thoroughly documented using the appropriate standards?

The standards of the data provenance documentation will be clarified at various stages during the project, and they will be included in updated versions of the DMP where necessary.

Describe all relevant data quality assurance processes.

Data quality assurance processes will be clarified at various stages during the project, and they will be included in updated versions of the DMP where necessary. However, it is important to mention that we are setting up an internal review process of all the outputs of the projects led by the project coordinator and work package leaders.

3. Other research outputs

SOS-Water aims to improve water systems and impact models to inform the computation of several environmental, social and economic indicators. As indicated above, those models are open-source (e.g., CWatM, PCR-GLOBWB 2.0) or are accessible promptly upon request (e.g., Jucar River Basin



model). Codes of open-source models are available via hosting platforms such as GitHub to ensure continuous development and version control.

4. Allocation of resources

Costs for making data or other research outputs FAIR in SOS-Water

The costs for making data FAIR will be clarified at various stages later in the project and they will be included in updated version of the DMP. The possible costs for making data or other research outputs FAIR in SOS-Water are expected to be covered during the project by the project grant and after the project by partner institutions.

Responsible for data management in SOS-Water

Responsibility of data management in SOS-Water is of the coordinator of the project. The main contact person at each participant organization will be responsible for the collection and transfer of data from its own research activities, within the framework of the DMP. After the end of the project, project partners are responsible for curating and preserving their data.

The DMP and Consortium Agreement (CA) outline the access rights of the consortium participants to data held by other consortium members and relevant ownership of data. The DMP and CA also identify responsibilities regarding the use of data during project lifetime as well as issues related to the long-term storage and maintenance and/or destruction of data after the project has been completed. Special attention will be paid to comply with the GDPR.

Long term preservation of data

The long term preservation of project data is being discussed within the consortium. However, as indicated above we expect the data to remain available for the lifetime of the selected repositories. Costs and responsibility of long term preservation beyond project duration is the responsibility of the project partners.

5. Data security

Collected data will be stored in the servers of respective partners and in the collaborative platform of the project on Microsoft SharePoint (if data size allows) and protected by defining authorised user groups and users and granting user IDs and passwords only to the members of the SOS-Water project. Partner organizations are expected to take care of the back-up and restore of the data by applying their internal back-up and restore policies.

The safety for long term preservation of data will be clarified and mapped at various stages later in the project. Data stored in the selected institutional and public repositories will be retained for the lifetime of the repositories.

6. Ethics

To guarantee the overall implementation of the respective ethical standards and the support in addressing new ethical issues that might arise during the project, the SOS-Water Steering Committee will be responsible for monitoring all the ethical issues and report these annually to the General Assembly. Moreover, the SOS-Water project consortium appointed an independent Ethics advisor by month 3 of the project to ensure adherence to the Ethics issues concerning Human participation, Personal Data, and Artificial Intelligence. A report prepared by the external independent Ethics Advisor will be submitted as a deliverable at the end of each reporting period. If, ethical issues arise unexpectedly during the project, the SOS-Water consortium will immediately contact the Ethics Advisor and the granting authority to discuss and provide detailed information on the issue and how to handle it.

SOS-Water will carry out research activities that require human participation such as workshops and stakeholder engagement processes. However, such activities will only require the collection and handling of some personal data (mainly contact information such as email address/first name/last name/telephone number) and the participants' full and informed consent will be ensured beforehand. Before receiving the consent of the participants, SOS-Water will pay special attention to inform participants of all aspects of the research that are relevant to the participant's decision to participate, explain and clarify how information generated in the project will be documented, stored, and shared, and that participants. The Informed Consent forms will further be translated to participants' language by the local partners. During surveys, interviews or workshops, the participant can choose not to participate and can withdraw at any stage without being penalized or disadvantaged.

The SOS-Water consortium will ensure that personal data will be processed in accordance with ethical principles and GDPR that aim to limit the negative impact on the persons concerned and ensure fairness, transparency and accountability of the data processing, data quality and confidentiality. The data collected will adhere to the principles of data minimization, anonymization, and stringent data protection and security measures to ensure the safety of the participants and their privacy. Confidentiality and anonymity will be ensured unless agreed differently with specific participants through signed agreements. Collected information is used for research purposes only and cannot be used for any other purpose without further consent (It will especially not be distributed to the media or for commercial purposes). Data will be stored and processed in accordance with European best practice guidelines. Collected data will thus be protected against unauthorized viewing, modifying, and erasing. Each partner organization in SOS-Water carrying out interviews, surveys or workshops is responsible for: (i) data security, (ii) handling the data in accordance with GDPR regulations, and (iii) their process and agreements related to data processing activities.

Participants in the planned stakeholders' engagement processes, surveys or interviews in SOS-Water will be asked to give their informed consent and they will be provided with privacy notices that describe the aims, methods and implications of the research, storage and accessibility of the data, and how confidentiality is ensured. IIASA, the coordinator of SOS-Water, has a part-time (60%) data protection officer that can support and advise us in the compliance of personal data protection.

7. Other issues

The DMP will ensure that data management and protection is compliant with EU principles and standards, and with relevant national data protection laws and institutional data management policies. Produced data will be treated according to the management guidelines and then implemented through relevant WPs.

The SOS-Water consortium is committed to ethical research and to the principles described in the Charter of Fundamental Rights of the EU, the European Convention on Human Rights, and its supplementary Charter of Fundamental Rights of the European Union in Practice, as well as in pioneering documents (incl. Nuremberg Code, Declaration of Helsinki), and will carry out its activities according to standard procedures as advised by the European Commission. More specifically, SOS-Water will be subject to the European Code of Conduct for Research Integrity, as well as ethical standards in force by its academic and practice partners.



Views and opinions expressed are those of the author(s) only and do not necessarily reflect those of the European Union or CINEA. Neither the European Union nor the granting authority can be held responsible for them.

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